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December 2020

### Administrative Appeal Decision - Cerone, Robert (2019-02-06)

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STATE OF NEW YORK – BOARD OF PAROLE

ADMINISTRATIVE APPEAL DECISION NOTICE

**Name:** Cerone, Robert

**Facility:** Washington CF

**NYSID:** [REDACTED]

**Appeal Control No.:** 07-079-18 B

**DIN:** 18-A-1399

Appearances: Joshua J. Mitzman, Esq.  
11 Market Street  
Suite 221  
Poughkeepsie, New York 12601

Decision appealed: June 2018 decision, denying discretionary release and imposing a hold of 15-months.

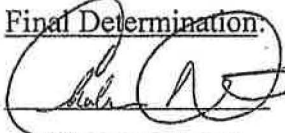
Board Member(s) who participated: Alexander, Agostini, Shapiro

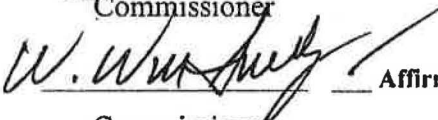
Papers considered: Appellant's Brief received November 9, 2018


Appeals Unit Review: Statement of the Appeals Unit's Findings and Recommendation

Records relied upon: Pre-Sentence Investigation Report, Parole Board Report, Interview Transcript, Parole Board Release Decision Notice (Form 9026), COMPAS instrument.

Final Determination: The undersigned determine that the decision appealed is hereby:

  Affirmed  Vacated, remanded for de novo interview  Modified to \_\_\_\_\_  
Commissioner

  Affirmed  Vacated, remanded for de novo interview  Modified to \_\_\_\_\_  
Commissioner

  Affirmed  Vacated, remanded for de novo interview  Modified to \_\_\_\_\_  
Commissioner

**If the Final Determination is at variance with Findings and Recommendation of Appeals Unit, written reasons for the Parole Board's determination must be annexed hereto.**

This Final Determination, the related Statement of the Appeals Unit's Findings and the separate findings of the Parole Board, if any, were mailed to the Inmate and the Inmate's Counsel, if any, on 2/6/19 66.

STATE OF NEW YORK – BOARD OF PAROLE

**APPEALS UNIT FINDINGS & RECOMMENDATION**

**Name:** Cerone, Robert

**DIN:** 18-A-1399

**Facility:** Washington CF

**AC No.:** 07-079-18 B

**Findings:** (Page 1 of 5)

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Appellant challenges the June 2018 determination of the Board, denying release and imposing a 15-month hold.

Appellant raises the following issues in his brief: (1) the Board’s decision to deny Appellant’s immediate release back into the community was arbitrary and capricious, made in violation of applicable legal authority, and based solely upon the very serious nature of the controlling convictions and Appellant’s extensive prior criminal record; (2) the Board did not provide sufficient weight to certain scores contained in Appellant’s COMPAS instrument; (3) Appellant alleges that the Board committed error by not discussing the Inmate Status Report and other issues during the interview; (4) certain records were not provided to Appellant and his counsel prior to the Board interview including any parole recommendation letter from the Office of the District Attorney; (5) the Board’s decision lacked sufficient detail; (6) the 15-month hold imposed by the Board following the interview was excessive; (7) the Board’s decision was made in violation of Appellant’s due process rights; (8) the Board’s decision was tantamount to a resentencing of Appellant; (9) the Board has systematically denied parole to violent felons, and there is also a public policy against their release, which is attributed to “political influence”; (10) the Board failed to provide Appellant with guidance as to how to improve his chances for parole in the future; (11) many provisions of law relied upon by the Board in making its determination should be changed, and should no longer be considered by the Board.

As to the first issue, discretionary release to parole is not to be granted “merely as a reward for good conduct or efficient performance of duties while confined but after considering if there is a reasonable probability that, if such inmate is released, he will live and remain at liberty without violating the law, **and** that his release is not incompatible with the welfare of society **and** will not so deprecate the seriousness of his crime as to undermine respect for the law.” Executive Law § 259-i(2)(c)(A) (emphasis added); accord Matter of Hamilton v. New York State Div. of Parole, 119 A.D.3d 1268, 990 N.Y.S.2d 714 (3d Dept. 2014). “Although these standards are no longer repeated in the [Board’s] regulation, this in no way modifies the statutory mandate requiring their application.” Notice of Adoption, NY Reg, Sept. 27, 2017 at 2. A conclusion that an inmate fails to satisfy **any one** of the considerations set forth in Executive Law § 259-i(2)(c)(A) is an independent basis to deny parole. See, e.g., Matter of Silmon v. Travis, 95 N.Y.2d 470, 477, 718 N.Y.S.2d 704, 708 (2000); Matter of Robles v. Fischer, 117 A.D.3d 1558, 1559, 985 N.Y.S.2d 386 (4th Dept. 2014); Matter of Hamilton v. New York State Div. of Parole, 119 A.D.3d 1268; Matter of Phillips v. Dennison, 41 A.D.3d 17, 21, 834 N.Y.S.2d 121, 124 (1st Dept. 2007).

Executive Law § 259-i(2)(c)(A) requires the Board to consider criteria which is relevant to the specific inmate, including, but not limited to, the inmate’s institutional record and criminal behavior. People ex rel. Herbert v. New York State Bd. of Parole, 97 A.D.2d 128, 468 N.Y.S.2d 881 (1st Dept. 1983). While consideration of these factors is mandatory, “the ultimate decision to

STATE OF NEW YORK – BOARD OF PAROLE

**APPEALS UNIT FINDINGS & RECOMMENDATION**

**Name:** Cerone, Robert

**DIN:** 18-A-1399

**Facility:** Washington CF

**AC No.:** 07-079-18 B

**Findings:** (Page 2 of 5)

---

parole a prisoner is discretionary.” Matter of Silmon v. Travis, 95 N.Y.2d 470, 477. Thus, it is well settled that the weight to be accorded the requisite factors is solely within the Board’s discretion. See, e.g., Matter of Delacruz v. Annucci, 122 A.D.3d 1413, 997 N.Y.S.2d 872 (4th Dept. 2014); Matter of Hamilton, 119 A.D.3d at 1271; Matter of Garcia v. New York State Div. of Parole, 239 A.D.2d 235, 239, 657 N.Y.S.2d 415, 418 (1st Dept. 1997). The Board need not explicitly refer to each factor in its decision, nor give them equal weight. Matter of Betancourt v. Stanford, 148 A.D.3d 1497, 49 N.Y.S.3d 315 (3d Dept. 2017); Matter of LeGeros v. New York State Bd. of Parole, 139 A.D.3d 1068, 30 N.Y.S.3d 834 (2d Dept. 2016); Matter of Phillips v. Dennison, 41 A.D.3d 17. In the absence of a convincing demonstration that the Board did not consider the statutory factors, it must be presumed that the Board fulfilled its duty. Matter of Fuchino v. Herbert, 255 A.D.2d 914, 914, 680 N.Y.S.2d 389, 390 (4th Dept. 1998); Matter of McLain v. New York State Div. of Parole, 204 A.D.2d 456, 611 N.Y.S.2d 629 (2d Dept. 1994); Matter of McKee v. New York State Bd. of Parole, 157 A.D.2d 944, 945, 550 N.Y.S.2d 204, 205 (3d Dept. 1990); People ex rel. Herbert, 97 A.D.2d 128.

As to the second issue, in 2011, the law was amended to require procedures incorporating risk and needs principles to “assist” the Board in making parole release decisions. Executive Law § 259–c(4); 9 N.Y.C.R.R. §8002.2(a). The Board satisfies this requirement in part by using the COMPAS instrument. Matter of Montane v. Evans, 116 A.D.3d 197, 202, 981 N.Y.S.2d 866, 870 (3d Dept. 2014); see also Matter of Hawthorne v. Stanford, 135 A.D.3d 1036, 1042, 22 N.Y.S.3d 640, 645 (3d Dept. 2016); Matter of LeGeros v. New York State Bd. of Parole, 139 A.D.3d 1068, 30 N.Y.S.3d 834 (2d Dept. 2016); Matter of Robles v. Fischer, 117 A.D.3d 1558, 1559, 985 N.Y.S.2d 386, 387 (4th Dept. 2014). Notably, the 2011 amendments did not eliminate the requirement that the Board conduct a case-by-case review of each inmate by considering the statutory factors including the instant offense. The amendments also did not change the three substantive standards that the Board is required to apply when deciding whether to grant parole. See Executive Law § 259-i(2)(c)(A). Thus, the COMPAS instrument cannot mandate a particular result. Matter of King v. Stanford, 137 A.D.3d 1396, 26 N.Y.S.3d 815 (3d Dept. 2016). Rather, the COMPAS is an additional consideration that the Board must weigh along with the statutory factors for the purposes of deciding whether the three standards are satisfied. See Matter of Rivera v. N.Y. State Div. of Parole, 119 A.D.3d 1107, 1108, 990 N.Y.S.2d 295 (3d Dept. 2014); accord Matter of Dawes v. Annucci, 122 A.D.3d 1059, 994 N.Y.S.2d 747 (3d Dept. 2014); see also Matter of Gonzalvo v. Stanford, 153 A.D.3d 1021, 56 N.Y.S.3d 896 (3d Dept. 2017). Furthermore, declining to afford the COMPAS controlling weight does not violate the 2011 amendments. Matter of King v. Stanford, 137 A.D.3d 1396, 26 N.Y.S.3d 815 (3d Dept. 2016).

The 2011 amendments require the Board to incorporate risk and needs assessment principles to “assist” in measuring an inmate’s rehabilitation and likelihood of success upon

STATE OF NEW YORK – BOARD OF PAROLE

**APPEALS UNIT FINDINGS & RECOMMENDATION**

**Name:** Cerone, Robert

**DIN:** 18-A-1399

**Facility:** Washington CF

**AC No.:** 07-079-18 B

**Findings:** (Page 3 of 5)

---

release. See Executive Law § 259-c(4). The statute thus does not clearly create a presumption of rehabilitation based on a favorable risk and needs assessment, let alone a presumption of parole release requiring the Board to provide countervailing evidence. Indeed, while the Board might, for example, find an inmate sufficiently rehabilitated to satisfy the first prong of the standard—that the inmate will “live and remain at liberty without violating the law,” the Board could also find, in its discretion, that the inmate’s release would be incompatible with the welfare of society, or would unduly deprecate the seriousness of a crime. The text of the statute therefore flatly contradicts the inmate’s assertion that certain low COMPAS scores create a presumption of release. See Matter of King v. Stanford, 137 A.D.3d at 1397.

As to the third issue, Appellant was provided the opportunity to discuss with the Board during the interview any issues of interest, and cannot now be heard to complain that certain issues were not discussed, or the extent to which certain issues were discussed. See Matter of Serna v. New York State Division of Parole, 279 A.D.2d 684, 719 N.Y.S. 2d 166 (3d Dept. 2001); Matter of Garcia v. New York State Div. of Parole, 239 A.D.2d 235, 657 N.Y.S.2d 415 (1<sup>st</sup> Dept. 1997). Furthermore, we note that the Parole Board Report replaced the Inmate Status Report years ago.

As to the fourth issue, Appellant alleges that he filed a request under the Freedom of Information Law (FOIL) to obtain certain records from the Department. He further alleges that certain records may not have been provided. If this is true, Appellant was required to file an appeal with the Department’s FOIL Unit. His failure to do so results in a failure to exhaust his administrative remedies. Raising this issue in the context of this administrative appeal before the Appeals Unit is not proper.

The Board may consider a district attorney’s recommendation to deny parole. Matter of Applegate v. New York State Bd. of Parole, 2164 A.D.3d 996, 997, 82 N.Y.S.3d 240 (3d Dept. 2018); Matter of Porter v. Alexander, 63 A.D.3d 945, 881 N.Y.S.2d 157 (2d Dept. 2009); Matter of Walker v. Travis, 252 A.D.2d 360, 676 N.Y.S.2d 52 (1st Dept. 1998); Matter of Walker v. New York State Bd. of Parole, 218 A.D.2d 891, 630 N.Y.S.2d 417 (3d Dept. 1995); Matter of Williams v. New York State Bd. of Parole, 220 A.D.2d 753, 633 N.Y.S.2d 182 (2d Dept. 1995); Matter of Confoy v. New York State Div. of Parole, 173 A.D.2d 1014, 569 N.Y.S.2d 846, 847 (3d Dept. 1991); Matter of Lynch v. New York State Div. of Parole, 82 A.D.2d 1012, 442 N.Y.S.2d 179 (3d Dept. 1981). Appellant is not entitled to an official statement by the District Attorney. Matter of Grigger v. New York State Div. of Parole, 11 A.D.3d 850, 783 N.Y.S.2d 689 (3d Dept. 2004); Matter of Ramahlo v Bruno, 273 A.D.2d 521, 708 N.Y.S.2d 206 (3d Dept. 2000), lv. denied 95 N.Y.2d 767 (2000). Cf. Matter of Mingo v. New York State Div. of Parole, 244 A.D.2d 781, 666 N.Y.S.2d 244 (3d dept. 1997) (official letters in another inmate’s file were inter-agency material exempted from disclosure).

**APPEALS UNIT FINDINGS & RECOMMENDATION****Name:** Cerone, Robert**DIN:** 18-A-1399**Facility:** Washington CF**AC No.:** 07-079-18 B**Findings:** (Page 4 of 5)

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As to the fifth issue, the Board's decision satisfied the criteria set out in Executive Law § 259-i(2)(a) and 9 N.Y.C.R.R. § 8002.3(d), as it was sufficiently detailed to inform the inmate of the reasons for the denial of parole. Matter of Applegate v. New York State Bd. of Parole, 164 A.D.3d 996, 997, 82 N.Y.S.3d 240 (3d Dept. 2018); Matter of Kozlowski v. New York State Bd. of Parole, 108 A.D.3d 435, 968 N.Y.S.2d 87 (1st Dept. 2013); Matter of Little v. Travis, 15 A.D.3d 698, 788 N.Y.S.2d 628 (3d Dept. 2005); Matter of Davis v. Travis, 292 A.D.2d 742, 739 N.Y.S.2d 300 (3d Dept. 2002); People ex rel. Herbert v. New York State Bd. of Parole, 97 A.D.2d 128, 468 N.Y.S.2d 881 (1st Dept. 1983). The Board addressed many of the factors and principles considered in individualized terms and explained those that ultimately weighed most heavily in its deliberations: the serious nature of the instant offense involving Appellant's stalking of his victim over an extended period of time in violation of a court order; his non-certifiable status results in his need to complete institutional programming; the Board's departure from certain low COMPAS scores given Appellant's consistent criminal behavior; official opposition to Appellant's release; the absence of any letters of support or assurance for the Board to consider; and various other factors.

As to the sixth issue, the Board's decision to hold an inmate for the maximum period of 24 months is within the Board's discretion and within its authority pursuant to Executive Law § 259-i(2)(a) and 9 N.Y.C.R.R. § 8002.3(b). Matter of Tatta v. State of N.Y., Div. of Parole, 290 A.D.2d 907, 737 N.Y.S.2d 163 (3d Dept. 2002), lv. denied, 98 N.Y.2d 604, 746 N.Y.S.2d 278 (2002); see also Matter of Campbell v. Evans, 106 A.D.3d 1363, 965 N.Y.S.2d 672 (3d Dept. 2013). As such, Appellant has failed to demonstrate that a hold of 15 months for discretionary release was excessive or improper.

As to the seventh issue, an inmate has no Constitutional right to be conditionally released on parole before expiration of a valid sentence. Greenholtz v. Inmates of Nebraska Penal & Correctional Complex, 442 U.S. 1, 99 S. Ct. 2100, 2104 (1979); Matter of Russo v. Bd. of Parole, 50 N.Y.2d 69, 427 N.Y.S.2d 982 (1980); Matter of Vineski v. Travis, 244 A.D.2d 737, 664 N.Y.S.2d 391 (3d Dept. 1997). The New York State parole scheme "holds out no more than a possibility of parole" and thus does not create a protected liberty interest implicating the due process clause. Matter of Russo, 50 N.Y.2d at 75-76, 427 N.Y.S.2d at 985; see also Barna v. Travis, 239 F.3d 169, 171 (2d Cir. 2001); Matter of Freeman v. New York State Div. of Parole, 21 A.D.3d 1174, 800 N.Y.S.2d 797 (3d Dept. 2005).

As to the eighth issue, Appellant's assertion that the denial of parole release amounted to an improper resentencing is without merit inasmuch as the Board fulfilled its obligation to determine the propriety of release per Executive Law § 259-i(2)(c)(A) and after considering the factors set forth therein. See Executive Law § 259 et seq.; Penal Law § 70.40; Matter of Murray v. Evans, 83 A.D.3d 1320, 920 N.Y.S.2d 745 (3d Dept. 2011); Matter of Crews v. New York State

STATE OF NEW YORK – BOARD OF PAROLE

**APPEALS UNIT FINDINGS & RECOMMENDATION**

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**DIN:** 18-A-1399

**Facility:** Washington CF

**AC No.:** 07-079-18 B

**Findings:** (Page 5 of 5)

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Exec. Dept. Bd. of Parole Appeals Unit, 281 A.D.2d 672, 720 N.Y.S.2d 855 (3d Dept. 2001). Appellant has not in any manner been resentenced. Matter of Mullins v. New York State Bd. of Parole, 136 A.D.3d 1141, 1142, 25 N.Y.S.3d 698 (3d Dept. 2016).

As to the ninth issue, there is no merit to the claim that the Board decision was predetermined based on an alleged executive policy to deny parole to violent felony offenders. Allegations that the Board has systematically denied parole to prisoners convicted of violent crimes have been dismissed repeatedly by the Courts. See, e.g., Matter of Cartagena v. Alexander, 64 A.D.3d 841, 882 N.Y.S.2d 735 (3d Dept. 2009); Matter of Motti v. Dennison, 38 A.D.3d 1030, 831 N.Y.S.2d 298 (3d Dept. 2008); Matter of Cardenales v. Dennison, 37 A.D.3d 371, 830 N.Y.S.2d 152 (1st Dept. 2007); Matter of Wood v. Dennison, 25 A.D.3d 1056, 1057, 807 N.Y.S.2d 480, 481 (3d Dept. 2006); Matter of Ameyda v. Travis, 21 A.D.3d 1200, 800 N.Y.S.2d (3d Dept. 2005), lv. denied, 6 N.Y.3d 703, 811 N.Y.S.2d 335 (2006); Matter of Bottom v. Travis, 5 A.D.3d 1027, 773 N.Y.S.2d 717 (4th Dept.), appeal dismissed 2 N.Y.3d 822, 781 N.Y.S.2d 285 (2004); Matter of Lue-Shing v. Pataki, 301 A.D.2d 827, 828, 754 N.Y.S.2d 96, 97 (3d Dept. 2003), lv. denied, 99 N.Y.2d 511, 760 N.Y.S.2d 102 (2003).

As to the tenth issue, relating to Appellant's complaint about lack of future guidance, the Board is not required to state what an inmate should do to improve his chances for parole in the future. Matter of Francis v. New York State Div. of Parole, 89 A.D.3d 1312, 934 N.Y.S.2d 514 (3d Dept. 2011); Matter of Freeman v. New York State Div. of Parole, 21 A.D.3d 1174, 800 N.Y.S.2d 797 (3d Dept. 2005); Matter of Partee v. Evans, 40 Misc.3d 896, 969 N.Y.S.2d 733 (Sup. Ct. Albany Co. 2013), aff'd, 117 A.D.3d 1258, 984 N.Y.S.2d 894 (3d Dept. 2014), lv. denied, 24 N.Y.3d 901, 995 N.Y.S.2d 710 (2014).

As to the eleventh issue, the Appeals Unit does not perform the powers of the State Legislature and the Governor with respect to the amendment and/or repeal of statutes, or Chapters of law, or the passage of such laws, and does not perform all the steps required for adoption of regulations. Appellant dedicates many pages to these kinds of arguments which fall far beyond the scope of this review.

**Recommendation:** Affirm.