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**COMPAS Risk Assessments** 

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November 2019

# COMPAS Risk Assessment - FUSL000025 (2015-11-16)

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# Risk Assessment

PERSON						
Name:			NYSID:	DOB:		
Race/Ethnicity: Gender:		der:	Agency: NYS DOCCS			
ASSESSMENT INFO	RMATION					
Case Identifier: Scale Set: NY State Parole Risk 3: Arrest, VFO, Abso		arole Risk (v.	Screener: Mann, Roxane M	Screening Date: 11/16/2015		
SCREENING INFOR	MATION					
Marital Status:		Married	Married			
Prison Admission Statu	ıs:	New Commitment				
Prison Release Status:		First Parole this term/sentence				
			Criminogenio	Need Scales		
	New York					
Risk of Felo	ny Violence	3 Low				
	Arrest Risk	1 Low	emperature of the control of the			
Abscond Risk		1 Low	and the control of th	and the same of th		
	ivolvement					
	nvolvement	4 Low	The state of the s	<u> </u>		
History	of Violence	7 Medium				
Prison	Misconduct	5 Low				

ReEntry Substance Abuse
Personality/Attitudes

Negative Social Cognitions

Low Self-Efficacy/Optimism

ReEntry Employment Expectations .

Low Family Support

Social Exclusion ReEntry Financial

Family

2 Unlikely

1 Unlikely

1 Unlikely

1 Unlikely

3 Unlikely

# **Risk Assessment**

Name:			NYSID:	DOB:
Race/Ethnicity:	Gene	der:	Agency: NYS DOCCS	
ASSESSMENT INFO	RMATION			
Case Identifier:	Scale Set: NY State Pa 3: Arrest, N	arole Risk (v.	Screener: Mann, Roxane M  Mann	Screening Date: 11/16/2015
SCREENING INFOR	MATION		et 1021/2/1020/2/1020/2/1020/2/	
Marital Status:		Married	**************************************	Sten in programme to page 5-22-7500
Prison Admission State	us:	New Commi	tment	
Prison Release Status		First Parole	this term/sentence	
✓ Robbery ☐ Drug Traffick ☐ Sex Offense  1. Was this person	with Force on probation o	☐ Dr ☐ Se or parole at th	irglary ug Possession/Use ix Offense w/o Force ne time of the current offense?	Property/Larceny Fraud DUI/OUIL Other
☐ Probation ☐  Criminal History	Parole 🗌 Both	✓ Neither	in the of the content of the sec	
VAC 2000 2000 3000	rrent case fo	or these gue	estions.	
EXCITATE CITE CO			S	
2. How many total		All and the state of the state		e delinquency petition action prior to the mber of arrest charges or level, each time
How many total current offense (     6	(count each ar	rest or petitio		mber of arrest charges or level, each time
<ul> <li>2. How many total current offense (6</li> <li>3. How many prior</li> <li>✓ 0 ☐ 1 ☐ 2+</li> <li>Note to Screen number of spe</li> </ul>	petitions or ch	rest or petitionarges for a fe	elony type violent action as a just all History Summary questi	mber of arrest charges or level, each time uvenile delinquent?  ons require you to add up the total ory. Count an offense type if it was among
<ul> <li>2. How many total current offense (6)</li> <li>3. How many prior</li> <li>☑ 0 ☐ 1 ☐ 2+</li> <li>Note to Screen number of spethe charges or communications.</li> </ul>	petitions or cher: The follocific types of ounts within a	wing Crimin offenses in	elony type violent action as a just all History Summary question the person's criminal history. Exclude the current case for	mber of arrest charges or level, each time uvenile delinquent?  ons require you to add up the total ory. Count an offense type if it was among

6.	How many prior felony assault offense (not murder, sex, $\bigcirc$ 0 $\bigcirc$ 1 $\bigcirc$ 2 $\bigcirc$ 3+	or domestic violence) a	rrests as an adult?
7.	How many prior misdemeanor assault offense (not sex or $\ 0\ 0\ 1\ 1\ 2\ 3+$	domestic violence) arr	ests as an adult?
8.	How many prior family violence offense arrests as an adu $200$ 0 $1$ 1 $2$ 2 $3+$	lt?	
9.	How many prior sex offense (with force) arrests as an ad $\boxdot$ 0 $\Box$ 1 $\Box$ 2 $\Box$ 3+	ult?	
10.	How many prior weapons offense arrests as an adult? $\bigcirc$ 0 $\bigcirc$ 1 $\bigcirc$ 2 $\bigcirc$ 3+		
11.	How many times has this person been sentenced to jail fo $\square$ 0 $\square$ 1 $\square$ 2 $\square$ 3 $\square$ 4 $\square$ 5+	or 30 days or more?	
12.	How many times has this person been sentenced (new co $\bigcirc$ 0 $\bigcirc$ 1 $\bigcirc$ 2 $\bigcirc$ 3 $\bigcirc$ 4 $\bigcirc$ 5+	ommitment) to state or	federal prison?
13.	How many times has this person been sentenced to proba  ☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5+	ation as an adult?	
	Include the current case for the following question(s).		
14.	Has this person ever received Tier 2 or 3 disciplinary infra ☑ No ☐ Yes	actions for fighting/thre	atening other inmates or staff?
15.	What was the age of this person when he/she was first p juvenile delinquent for the very first time? 16	etitioned or arrested fo	r a criminal offense as an adult or
Disc	iplinary History		
16.	Total # of Tier 3 infractions during in the last 24 months 0	of incarceration:	
17.	Total # of Tier 2 infractions in the last 24 months of inca 0	rceration:	
18.	Total # of infractions in the past 24 months for:		
	Assaults (involving physical injury) on staff	0	
	Assaults (involving physical injury) on inmates	0	
	Sexual Assault	0	
	Weapons	0	
1	Fights	0	
	Drugs	0	
	Escape	0	
	Sexual Misconduct	0	
	Other	0	
	Does this person appear to have notable disciplinary issue ☐ No ☐ Yes ☑ Unsure	es?	3

		fessionally skilled)		
or	k and Financial			
26.	Does this person have basic educational needs that r  ✓ No ☐ Yes ☐ Unsure	need to be addres	sed?	
	Did this person earn a high school diploma or GED?  ☐ No ☑ Yes			
75	Did this serves are a blat at a task		Turing the state of the state o	¥2
duc	cation			
N/S	Any history of failed drug/UA tests		○ No ⑨ Yes ○ Unsure	
	Prior treatments for drug/alcohol abuse		○ No ® Yes ○ Unsure	-
	History of alcohol problems		No O Yes O Unsure	
	History of drug problems		O No O Yes O Unsure	
	Prior drug charges/convictions	-0	No ○ Yes ○ Unsure	
	Committed offenses while high/drunk		No O Yes O Unsure	
3.	Substance Abuse Background:			
ID:	stance Use			
	□ No ☑ Yes □ Unsure			
2.	Is there evidence of positive family support?	*		
	Inmate believes other relatives are supportive		○ No   Yes ○ Unsure	
	Family members visited periodically during incarcera	ation	○ No   Yes ○ Unsure	
	Estranged from family	Ipo-college CV	No ○ Yes ○ Unsure	
1.	Anticipated family support upon release:  Intends to stay with family when released		○ No   Yes   Unsure	
am	ily/Social Support			
	Minimum to Maximum	N/A		
	Medium to Maximum	N/A		
	Minimum to Medium	N/A		

20.	What are the current plans for employment?  ☐ No employment plan  ☐ Return to previous employer  ☐ Has firm job offer (other than previous employer)  ☐ Has employment assurance letter  ☐ Unable to work or retired  ☑ Other
31.	Does this person currently have a skill, trade or profession at which they usually find work?  ☐ No ☑ Yes
32.	Right now, does this person feel they need more training in a new job or career skill?  ☐ No ☑ Yes
33.	Looking ahead to their release from prison, if this person were to get a good job, how would they rate their chance of being successful?  Good ☐ Fair ☐ Poor
34.	Thinking of their financial situation prior to this incarceration, how hard was it for the inmate to find a job ABOVE minimum wage compared to others?  □ Easier □ Same ☑ Harder □ Much Harder
Self	Efficacy
	Please answer the following as either No, Yes or Don't Know
35.	Will it be difficult for you to find a steady job?  ☑ No ☐ Yes ☐ Don't Know
36.	Will money be a problem for you when released?  ☐ No ☐ Yes ☑ Don't Know
	How difficult will it be for you to
37.	manage your money?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
38.	keep a job once you have found one?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
39.	have enough money to get by?  ✓ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
40.	find people that you can trust? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
41.	find friends who will be a good influence on you?  ✓ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
42.	avoid risky situations? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
43.	learn to control your temper? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
44.	learn better skills to get a job? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
45.	support yourself financially without using illegal ways to get money?  ✓ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
46.	get along with people?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult  5

47.	avoid spending too much time with people that could get you into trouble?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
48.	avoid risky sexual behavior?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
49.	keep control of yourself when other people make you mad?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
50	avoid slipping back into illegal activities?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
51.	deal with loneliness? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult		i	
52.	avoid places or situations that may get you into trouble?  ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
53.	learn to be careful about choices you make? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
54.	find people to do things with? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
55.	learn to avoid saying things to people that you later regret? ☑ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult			
Ang	er			
	How do you feel about the following?			
56.	I feel other people get more breaks than me.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
57.	People have let me down or disappointed me.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
58.	I like to be in control in most situations.  ☐ Mostly Disagree ☐ Uncertain Don't Know ☑ Mostly Agree			
59.	I will argue to win with other people even over unimportant things.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
60.	When I get angry, I say unkind or hurtful things to people.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
61.	I feel that people are talking about me behind my back.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
62.	I feel it is best to trust no one. ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
63.	I prefer to be the one who is in charge in my relationships with other people.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
64.	I often lose my temper. ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
65.	I get angry at other people easily.  ✓ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
66.	I feel I have been mistreated by other people.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
67.	I often feel that I have enemies that are out to hurt me in some way.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
68.	When dealing with new people, I quickly figure out whether they are strong or weak.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree			
			6	

69.	I often feel a lot of anger inside myself.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
70.	I feel that life has given me a raw deal.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
71.	When people are being nice, I worry about what they really wan  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
72.	When other people tell me what to do I get angry.  ✓ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
73.	I notice that other people seem afraid of me. ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
74,	I often get angry quickly, but then get over it quickly.  ☑ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree

# **Assessment Narrative**

PERSON	ARREST AND STATE		
Name:	V	NYSID:	DOB:
Race/Ethnicity:	Gender:	Agency: NYS DOCCS	

ASSESSMENT INF	ORMATION	<b>建筑建筑的设置是一边的</b>	processing the property of
Case Identifier:	Scale Set: NY State Parole Risk (v.	Screener:	Screening Date: 11/16/2015
	3: Arrest, VFO, Absc)	Khann OK	

SCREENING INFORMATION	
Marital Status:	Married
Prison Admission Status:	New Commitment
Prison Release Status:	First Parole this term/sentence

## ASSESSMENT RISK PROBABILITY & SUMMARY

# **Assessment Risk Probability & Summary**

Violence: N/A COMPAS Recommended Supervision: Supervision Status 4

Recidivism: N/A Screener: Roxane Mann
Pretrial Release Risk: N/A Marital Status: Married

Custody Status: N/A Legal Status: N/A

Defensiveness Scale: No Potential Faking Concern Random Response: No Inconsistent Response Concern

## Supervision Recommendation

Screener's Recommended Supervision: N/A

Actual Recommended Supervision: N/A

Override Reason: N/A

Override Reason: N/A

## Client Strengths Client Interests

Age 30 or Greater

High School Graduate or GED

### CURRENT CHARGE AND CRIMINAL HISTORY SUMMARY

# **Current Offense Summary**

Offense category(s): Homicide, Robbery

Was this person on probation or parole at the time of the current offense? Neither

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## **Current History Summary**

How many total times has this person had a criminal arrest and/or juvenile delinquency petition action prior to the current offense (count each arrest or petition date once, no matter the number of arrest charges or level, each time)? 6

How many prior petitions or charges for a felony type violent action as a juvenile delinquent? 0

How many times has this person been arrested for a felony property offense that includes an element of violence? 3

How many prior murder/voluntary manslaughter offense arrests as an adult? 0

How many prior felony assault offense (not murder, sex, or domestic violence) arrests as an adult? 0

How many prior misdemeanor assault offense (not sex or domestic violence) arrests as an adult? 0

How many prior weapons offense arrests as an adult? 1

How many prior family violence offense arrests as an adult? 0

How many prior sex offense (with force) arrests as an adult? 0

What was the age of this person when he/she was first petitioned or arrested for a criminal offense as an adult or juvenile delinquent for the very first time? 16

# Institutional History

How many times has this person been sentenced to probation as an adult? 1

Negative Social Cognitions Statement:
in against a doginal origination of the control of
indicated that it would not be difficult to learn to control his temper. He indicated that it would not be difficult to keep control of himself when other people make him mad. Preported that he mostly disagrees that he feels that other people get more breaks than him. He reported that he mostly disagrees that people have let him down or disappointed him. Preported that he mostly agrees that he likes to be in control in most situations. He reported that he mostly disagrees that he will argue to win with other people even over unimportant things. Preported that he mostly disagrees that when angry he says unkind or hurtful things to people. He reported that he mostly disagrees that people are talking about him behind his back. Preported that he mostly disagrees that he feels it is best to trust no one. He reported that he mostly disagrees that he prefers to be the one who is in charge in his relationships with other people. Preported that he mostly disagrees that he reported that he mostly disagrees that he feels that he has been mistreated by other people easily. Preported that he mostly disagrees that he feels that he has enemies that are out to hurt him in some way. Preported that he mostly disagrees that he nostly disagrees that he often feels a lot of anger inside himself. Preported that he mostly disagrees that he nostly disagrees that when dealing with new people he quickly figures out whether they are strong or weak. He reported that he mostly disagrees that when dealing with new people he quickly figures out whether they are strong or weak. He reported that he mostly disagrees that when people are being nice, he worries about what they really want. Preported that he mostly disagrees that when other people tell him what to do he gets angry. He reported that he mostly disagrees that other people seem afraid of him.
Negative Social Cognitions Treatment Implications:
Scores of 7 and above may suggest a need for cognitive restructuring intervention as part of the case management plan. A high score on this scale may also indicate the need for close supervision of the case. For very high scoring cases, cognitive interventions, coupled with substance abuse treatment (for example), may best begin in a controlled setting that is separated from all of the community/peer distractions. This might be sequences prior to other community placement/probation program conditions.
Comments:
There are currently no comments on this narrative.
Low Self-Efficacy/Optimism
Low Self-Efficacy/Optimism  Low Self-Efficacy/Optimism Scale Score: Unlikely  scale score suggests that he is unlikely to have low self-efficacy in his ability to deal with the various challenges of reentering the community.
Low Self-Efficacy/Optimism Scale Score: Unlikely scale score suggests that he is unlikely to have low self-efficacy in his ability to deal with the various

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#### Low Self-Efficacy/Optimism Treatment Implications:

Persons who lack such optimism or self-efficacy appear less likely to be able to cope successfully with various challenges of re-entry. Treatment implications would focus primarily upon building skills, confidence and optimism on the part of the re-entry client. Thus, skill-building as well as cognitive interventions would be implied.

#### Comments:

There are currently no comments on this narrative.

# Low Family Support Scale Score: Unlikely Family Support Scale score suggests that he has supportive family. Encouraged to draw on this strength to meet expectations and to stay out of trouble. Low Family Support Statement: Indicated that he intends to stay with family when released. He indicated that he is not estranged from family. Indicated that family members did visit him periodically during his incarceration. He indicated that he believes that other relatives are supportive. There appears to be evidence of family support. Low Family Support Treatment Implications: The case management strategy may be to see if family bonds can be strengthened or reestablished if appropriate.

#### Comments:

There are currently no comments on this narrative.

# Reentry Financial

#### Reentry Financial Scale Score: Unlikely

self reported information suggests that he is unlikely to have significant financial problems upon release.

#### Reentry Financial Statement:

was unsure whether money will be a problem when he is released. He indicated that it will not be difficult to manage his money and indicated that it will not be difficult to have enough money to get by and reported that it would be very difficult to find a job that pays more than minimum wage and that supporting himself financially without using illegal ways to get money will not be difficult. did not appear to expect any financial problems upon release.

#### Reentry Financial Treatment Implications:

Scores of probable or highly probable typically suggest a need to address the inmates financial plans prior to release. Developing a realistic budget and money management skills as well as employability skills and a job seeking plan may be addressed either prior to and after release.

#### Comments:

There are currently no comments on this narrative.

# Reentry Employment Expectations

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Reentry Employment Expectations Scale Score: Unlikely
The Vocational and Education Scale score suggests may not need vocational or educational treatment intervention.
Reentry Employment Expectations Statement:
reported completing his high school diploma or GED. appeared to be job ready (skilled, sem skilled, or professionally skilled). was able to specify other plans for employment and appeared unlike to face employability problems upon release.
reported feeling it will not be difficult to find a steady job. He reported that it will not be difficult to learn better skills to get a job. did not think that it would difficult to keep a job once he has found one and reported that it would be very difficult to find a job that pays more than minimum wage.
Reentry Employment Expectations Treatment Implications:

Probable or highly probable scores suggest that vocational, employability and/or educational skills training may be helpful either prior to or upon release. This might include job seeking, job maintenance skill or other specific job skills.

### Comments:

There are currently no comments on this narrative.