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COMPAS Risk Assessments

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September 2019

COMPAS Risk Assessment - FUSL000013 (2015-10-22)

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Risk Assessment

PERSON		
Name:	NYSID:	DOB:
Race/Ethnicity:	Gender:	Agency:
		NYS DOCCS

ASSESSMENT INFORMATION			
Case Identifier:	Scale Set:	Screener:	Screening Date:
	NY State Parole Risk (v. 3: Arrest, VFO, Absc)	Saldana, Hillary	10/22/2015

SCREENING INFORMATION	
Marital Status:	Single
Prison Admission Status:	New Commitment
Prison Release Status:	First Parole this term/sentence

Criminogenic Need Scales

New York	
Risk of Felony Violence	2 Low
Arrest Risk	1 Low
Abscond Risk	1 Low
Criminal Involvement	
Criminal Involvement	3 Low
History of Violence	6 Medium
Prison Misconduct	5 Low
Relationships/Lifestyle	
ReEntry Substance Abuse	2 Unlikely
Personality/Attitudes	
Negative Social Cognitions	1 Unlikely
Low Self-Efficacy/Optimism	1 Unlikely
Family	
Low Family Support	1 Unlikely
Social Exclusion	
ReEntry Financial	1 Unlikely
ReEntry Employment Expectations	1 Unlikely

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Current Offenses

Note to Screener: Throughout the assessment, scroll over questions to reveal help hyperlinks. Click on the hyperlinks for clarification of question and answer options.

- | | | | |
|--|--|---|--------------------------------|
| <input checked="" type="checkbox"/> Homicide | <input type="checkbox"/> Weapons | <input checked="" type="checkbox"/> Assault | <input type="checkbox"/> Arson |
| <input checked="" type="checkbox"/> Robbery | <input type="checkbox"/> Burglary | <input type="checkbox"/> Property/Larceny | <input type="checkbox"/> Fraud |
| <input type="checkbox"/> Drug Trafficking/Sales | <input type="checkbox"/> Drug Possession/Use | <input type="checkbox"/> DUI/OUIL | <input type="checkbox"/> Other |
| <input checked="" type="checkbox"/> Sex Offense with Force | <input type="checkbox"/> Sex Offense w/o Force | | |

1. Was this person on probation or parole at the time of the current offense?
☐ Probation ☐ Parole ☐ Both ☒ Neither

Criminal History

Exclude the current case for these questions.

2. How many total times has this person had a criminal arrest and/or juvenile delinquency petition action prior to the current offense (count each arrest or petition date once, no matter the number of arrest charges or level, each time)?
 10
3. How many prior petitions or charges for a felony type violent action as a juvenile delinquent?
☒ 0 ☐ 1 ☐ 2+

Note to Screener: The following Criminal History Summary questions require you to add up the total number of specific types of offenses in the person's criminal history. Count an offense type if it was among the charges or counts within an arrest event. Exclude the current case for the following questions.

4. How many times has this person been arrested for a felony property offense that includes an element of violence?
☒ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5+
5. How many prior murder/voluntary manslaughter offense arrests as an adult?
☒ 0 ☐ 1 ☐ 2 ☐ 3+

6. How many prior felony assault offense (not murder, sex, or domestic violence) arrests as an adult?
☒ 0 ☐ 1 ☐ 2 ☐ 3+
7. How many prior misdemeanor assault offense (not sex or domestic violence) arrests as an adult?
☐ 0 ☒ 1 ☐ 2 ☐ 3+
8. How many prior family violence offense arrests as an adult?
☒ 0 ☐ 1 ☐ 2 ☐ 3+
9. How many prior sex offense (with force) arrests as an adult?
☒ 0 ☐ 1 ☐ 2 ☐ 3+
10. How many prior weapons offense arrests as an adult?
☐ 0 ☒ 1 ☐ 2 ☐ 3+
11. How many times has this person been sentenced to jail for 30 days or more?
☐ 0 ☒ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5+
12. How many times has this person been sentenced (new commitment) to state or federal prison?
☒ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5+
13. How many times has this person been sentenced to probation as an adult?
☐ 0 ☒ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5+

Include the current case for the following question(s).

14. Has this person ever received Tier 2 or 3 disciplinary infractions for fighting/threatening other inmates or staff?
☐ No ☒ Yes
15. What was the age of this person when he/she was first petitioned or arrested for a criminal offense as an adult or juvenile delinquent for the very first time?
 13

Disciplinary History

16. Total # of Tier 3 infractions during in the last 24 months of incarceration:
 0
17. Total # of Tier 2 infractions in the last 24 months of incarceration:
 1
18. Total # of infractions in the past 24 months for:

Assaults (involving physical injury) on staff	0
Assaults (involving physical injury) on inmates	0
Sexual Assault	0
Weapons	0
Fights	0
Drugs	0
Escape	0
Sexual Misconduct	0
Other	1

19. Does this person appear to have notable disciplinary issues?
☒ No ☐ Yes ☐ Unsure

Classification History

20. During this incarceration (for all active cases) was this person ever reclassified from a lower to a higher security classification level for reasons other than programming or medical needs?

☒ No ☐ Yes

If answered yes to above (number of times for each):

Minimum to Medium	N/A
Medium to Maximum	N/A
Minimum to Maximum	N/A

Family/Social Support

21. Anticipated family support upon release:

Intends to stay with family when released	<input type="radio"/> No <input checked="" type="radio"/> Yes <input type="radio"/> Unsure
Estranged from family	<input checked="" type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Unsure
Family members visited periodically during incarceration	<input type="radio"/> No <input checked="" type="radio"/> Yes <input type="radio"/> Unsure
Inmate believes other relatives are supportive	<input type="radio"/> No <input checked="" type="radio"/> Yes <input type="radio"/> Unsure

22. Is there evidence of positive family support?

☐ No ☒ Yes ☐ Unsure

Substance Use

23. Substance Abuse Background:

Committed offenses while high/drunken	<input type="radio"/> No <input checked="" type="radio"/> Yes <input type="radio"/> Unsure
Prior drug charges/convictions	<input checked="" type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Unsure
History of drug problems	<input checked="" type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Unsure
History of alcohol problems	<input type="radio"/> No <input checked="" type="radio"/> Yes <input type="radio"/> Unsure
Prior treatments for drug/alcohol abuse	<input checked="" type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Unsure
Any history of failed drug/UA tests	<input checked="" type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Unsure

24. ~~Has this person ever had substance abuse problems?~~

Education

25. Did this person earn a high school diploma or GED?

☐ No ☒ Yes

26. Does this person have basic educational needs that need to be addressed?

☒ No ☐ Yes ☐ Unsure

Work and Financial

27. Is this person job ready (skilled, semi-skilled, or professionally skilled)?

☐ No ☒ Yes ☐ Unsure

28. What are the current plans for employment?

- ☐ No employment plan
☐ Return to previous employer
☐ Has firm job offer (other than previous employer)
☒ Has employment assurance letter
☐ Unable to work or retired
☐ Other

29. [REDACTED]

30. [REDACTED]

31. Does this person currently have a skill, trade or profession at which they usually find work?

- ☐ No ☒ Yes

32. Right now, does this person feel they need more training in a new job or career skill?

- ☒ No ☐ Yes

33. Looking ahead to their release from prison, if this person were to get a good job, how would they rate their chance of being successful?

- ☒ Good ☐ Fair ☐ Poor

34. Thinking of their financial situation prior to this incarceration, how hard was it for the inmate to find a job ABOVE minimum wage compared to others?

- ☐ Easier ☒ Same ☐ Harder ☐ Much Harder

Self Efficacy

Please answer the following as either No, Yes or Don't Know

35. Will it be difficult for you to find a steady job?

- ☒ No ☐ Yes ☐ Don't Know

36. Will money be a problem for you when released?

- ☒ No ☐ Yes ☐ Don't Know

How difficult will it be for you to...

37. manage your money?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

38. keep a job once you have found one?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

39. have enough money to get by?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

40. find people that you can trust?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

41. find friends who will be a good influence on you?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

42. avoid risky situations?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

43. learn to control your temper?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

44. learn better skills to get a job?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

45. support yourself financially without using illegal ways to get money?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

46. get along with people?

- ☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

47. avoid spending too much time with people that could get you into trouble?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
48. avoid risky sexual behavior?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
49. keep control of yourself when other people make you mad?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
50. avoid slipping back into illegal activities?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
51. deal with loneliness?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
52. avoid places or situations that may get you into trouble?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
53. learn to be careful about choices you make?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
54. find people to do things with?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult
55. learn to avoid saying things to people that you later regret?
☒ Not Difficult ☐ Somewhat Difficult ☐ Very Difficult

Anger

How do you feel about the following?

56. I feel other people get more breaks than me.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
57. People have let me down or disappointed me.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
58. I like to be in control in most situations.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
59. I will argue to win with other people even over unimportant things.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
60. When I get angry, I say unkind or hurtful things to people.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
61. I feel that people are talking about me behind my back.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
62. I feel it is best to trust no one.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
63. I prefer to be the one who is in charge in my relationships with other people.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
64. I often lose my temper.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
65. I get angry at other people easily.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
66. I feel I have been mistreated by other people.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
67. I often feel that I have enemies that are out to hurt me in some way.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
68. When dealing with new people, I quickly figure out whether they are strong or weak.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree

69. I often feel a lot of anger inside myself.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
70. I feel that life has given me a raw deal.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
71. When people are being nice, I worry about what they really want.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
72. When other people tell me what to do I get angry.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
73. I notice that other people seem afraid of me.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree
74. I often get angry quickly, but then get over it quickly.
☒ Mostly Disagree ☐ Uncertain Don't Know ☐ Mostly Agree

Supervision Recommendation

PERSON			
Name: [REDACTED]		NYSID: [REDACTED]	DOB: [REDACTED]
Race/Ethnicity: [REDACTED]	Gender: [REDACTED]	Agency: NYS DOCCS	

ASSESSMENT INFORMATION			
Case Identifier: [REDACTED]	Scale Set: NY State Parole Risk (v. 3: Arrest, VFO, Absc)	Screener: Saldana, Hillary	Screening Date: 10/22/2015

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RECOMMENDED SUPERVISION LEVEL	
Resulting Risk Level: Supervision Status 4	
Screener Recommended Supervision:	
Actual Recommended Supervision:	
Comments:	